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STAKEHOLDER ENGAGEMENT PLAN

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SGCCUP – STAKEHOLDER ENGAGEMENT PLAN							
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CHANGES SINCE THE PREVIOUS VERSION INCLUDE:

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2	3.4	Confirmation is awaited on environmental due diligence, stakeholder engagement and disclosure requirements from the appointed international lender for the project.



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Abbreviations & Acronyms

Acronym	Description
AOI	Area of Interest
EHS	Environment, Health and Safety
ESIA	Environmental and Social Impact Assessment
ESMP	Environmental and Social Management Plan
FEED2	Front End Engineering Design Phase 2
GIIP	Good International Industry Practice
GSU	Gas Separation Unit
IBA	Important Bird Area
ICP	Informed Consultation and Participation
IFC	International Finance Corporation
IFC-PS	International Finance Corporation Performance Standards
LLDPE	Linear Low Density Polyethylene
NGO	Non-Governmental Organisation
NHC	National Holding Company
OECD	Organization for Economic Cooperation and Development
RoU	Republic of Uzbekistan
SEP	Stakeholder Engagement Plan
SGCC	Shurtan Gas Chemical Complex
SGCCUP	Shurtan Gas Chemical Complex Upgrade Project
TBC	To Be Confirmed
UNG	Uzbekneftgaz
WP	WorleyParsons



1 Introduction

1.1 Purpose of Stakeholder Engagement Plan

This document constitutes the Stakeholder Engagement Plan (SEP) for the Shurtan Gas Chemical Complex Upgrade Project (SGCCUP) (known herein as the Project). The SEP is developed as part of the Environmental and Social Impact Assessment (ESIA) and primarily concerns consultations and disclosure of the ESIA to external stakeholders. This SEP is a live document, and it will be maintained and updated by SGCCUP as the Project moves through its lifecycle phases and additional information becomes available.

The purpose of the SEP is to:

- Identify and prioritise the key project stakeholders and ensure they are provided with appropriate mechanisms for information sharing and feedback;
- Review regulatory, best practice, and lenders requirements relative to consultation and disclosure that are applicable to the Project;
- Provide an outline for consultation at the local, national and international levels (where applicable), and define the methodology, roles and responsibilities for implementation of these consultation activities for the ESIA;
- Ensure issues raised by key stakeholders are addressed in the ESIA and taken forward as part of the design decision-making and overall development of the project; and
- To outline a grievance mechanism for all stakeholders pertinent to the project.

1.2 Project Background

The Shurtan Gas Chemical Complex (SGCC) is situated in the Kashkadarya Region, Uzbekistan, operated by JSC “Uzbekneftegaz” (UNG). It is an existing facility, operational since 2001 and designed for the production of polyethylene to 125 ktpa. As part of this project the SGCC is to undergo facility and plant upgrade works, to increase the overall production output of polyethylene and establish a new production of polypropylene. The SGCC is currently in the Detail Design phase, and completion of construction of the upgraded facilities is scheduled for 2025. International lending is being sought for the project, which requires the preparation of an Environmental and Social Impact Assessment (ESIA) meeting the Good International Industry Practice (GIIP), which WorleyParsons (WP) have been commissioned to complete.

The current SGCC receives natural gas from the Shurtan Gas field, from the Shurtan Oil and Gas Production Department which is also belongs to “Uzbekneftegaz” JSC. The Gas Separation Unit (GSU) separates out methane (for sales gas export) and ethane feed for the Ethylene Plant. The ethylene produced is converted into Linear Low Density Polyethylene (LLDPE), as the primary product. Assessment of the natural gas feedstock has identified a future increase in the composition of ethane in the natural gas feed by up to 60%, equivalent to an additional 80ktpa of ethylene production. In addition, 430ktpa of Naphtha from the nearby GTL plant will also be available for further processing.

To utilise the additional ethane and Naphtha feed, the SGCC intends to upgrade its polyethylene production facilities. This will be undertaken through the installation of new Naphtha Cracker Plant (New Ethylene & Propylene Unit) with new Total Hydrogenation Unit (THU), new Polyethylene and Polypropylene Units. The works shall also include the upgrade of the existing Acid Gas Removal Unit (AGRU), Gas Separation Unit (GSU), and other associated infrastructure, predominantly on the existing brownfield area of the SGCC, to operate with the expected future natural gas feed. The upgrade works being completed for the project will produce an additional 280ktpa of high density



polyethylene (HDPE) product and 100ktpa of polypropylene (PP) product. The upgrades will ensure the Acid Gas Removal Unit (AGRU) can reduce the CO₂ concentration in the gas leaving the Acid Gas Absorber to a level of 50 ppm.

For the supply of raw gas with highest ethane content, a new gas pipeline from Shurtan Gas Processing Plant (SGPP) will be constructed. In addition, natural gas booster compression station in SGPP will be modified in order to ensure the reliability of gas supply for newly constructed GTL Plant and SGCCUP as well.

The new landfill will be established/constructed nearby to handle the industrial wastes from both GTL and SGCCUP plants.

1.3 Project Location

The SGCC project site is located in the Kashkadarya Region in south-west of the Republic of Uzbekistan. The site is approximately 430km from Tashkent, the capital, and 33km south west of the City of Karshi (Figure 1 and Figure 2). The Project site is located in the territory of the Guzar and Nishan Districts in the Kashkadarya Region and the site location co-ordinates are centred at 38.4581° N, 65.8055° E (Ref. WP, 2016a).



1 SGCC Site Location. (Source: www.bing.com)



2 SGCC Regional Site Location. (Source: www.bing.com)

1.4 Environmental & Social Aspects

During the Scoping Phase a detailed review of the environmental and social setting of the project was completed to identify the baseline conditions for the project site and Area of Interest (AOI), identify potential receptors which may be impacted by the SGCC project, and identify information gaps in the baseline, requiring further work as part of the ESIA (WP, 2017). The environmental and social setting considered climate and meteorology, geology, geomorphology and soils, hydrology, hydrogeology, water resources, air quality, noise and vibration, terrestrial and aquatic ecology, and socio-economic parameters including landuse and settlements, administrative structure, demographics, ethnicity/religion, livelihoods, employment structure and economic outputs, education and literacy, infrastructure, cultural heritage and community health, on a regional and local level. The following potential receptors were identified which may be impacted to some degree, by the project aspects summarised in Table 1.

Biological Environment

- Terrestrial Flora
- Mammals
- Avifauna
- Terrestrial Invertebrates
- Aquatic Flora
- Aquatic Fauna
- Talimardjan Reservoir (IBA)

- The Man-Made Lake / Reservoir
- Gissar Foothills (IBA)
- Water in-take locations

Physical Environment:

- Surface Water
- Groundwater
- Air Quality



Social Environment

- Local Communities
- Local workforce
- Infrastructure Capacity

Preliminary Aspect	Phase		
	Installation / Commissioning	Operations	Decommissioning
Atmospheric emissions (including dust)	✓	✓	✓
Flaring emissions		✓	
Hazardous waste management		✓	✓
Non-hazardous waste management	✓	✓	✓
Water in-take	✓	✓	✓
Oil and chemical spill	✓	✓	✓
Aqueous discharge	✓	✓	✓
Wildlife disturbance (noise, vibration, light)	✓	✓	✓
Storage of oils, chemicals and fuels	✓	✓	✓
Flood and drainage management	✓	✓	✓
Water supply	✓	✓	✓
Noise	✓	✓	✓

¹ Preliminary Aspects For Each Project Phase (WP, 2017)

1.5 Stakeholder Engagement Scoping Phase Findings

During the Scoping Phase preliminary stakeholder identification was completed through desktop research and discussions with SGCC defining stakeholders within the following groups:

- National government agencies;
- Regional and local administrative units;
- Non-Governmental Organisations (NGOs) – international, national and local;
- Communities; and
- Industry partners.



A recommendation of the Scoping Phase was that robust stakeholder engagement was required for: (i) participatory data collection (for collection of socio-economic, health, cultural heritage and local landuse baseline data); (ii) ESIA disclosure to present the ESIA process, findings and existing environmental and social management activities; and (iii) to identify stakeholder views and concerns pertinent to the project. This SEP will further analysis and defined the stakeholders initially identified and present the proposed methodology to achieve the Scoping Phase recommendations.

1.6 Structure of Stakeholder Engagement Plan

This SEP will detail the following aspects for the ESIA engagement and disclosure activities:

- Objectives of stakeholder engagement in accordance with GIIP guidance;
- Provide the framework for disclosure (regulatory, best international practice, lenders requirements and SGCCs standards)
- Provide detail on the stakeholders identified and how they will be managed through the ESIA process;
- Develop the SEP to provide information on the engagement methods and activities and disclosure logistics;
- Outline roles and responsibilities for disclosure;
- Outline the Grievance Mechanism; and
- Confirm the reporting requirements.

1.7 Project Approvals

The responsibility for stakeholder engagement for the ESIA is maintained by SGCC. Environmental Consultant (if any) will support SGCC throughout the ESIA process to meet stakeholder engagement requirements in accordance with Good International Industry Practice (GIIP) and International Finance Corporation (IFC) best practice. No previous stakeholder engagement is understood to have taken place through SGCC pertinent to the ESIA.



2 Objectives of Stakeholder Engagement

2.1 Overview

Stakeholder engagement and consultation is a two-way process of dialogue between the Project and its stakeholders, and should be completed throughout the project lifecycle e.g. design, construction, operation and decommissioning / closure. Stakeholder engagement should initiate and aim to sustain constructive external relationships over time. If undertaken from an early stage of the Project, the engagement process will help develop the local 'social license to operate'. Consultation and disclosure also ensures accountability and transparency during the development of both the ESIA and the overall SGCC project.

2.2 Stakeholder Engagement Objectives

As defined by the IFC the objectives and best practice requirements for ESIA stakeholder engagement are as follows:

1. Stakeholder Identification and Analysis:

- Determination of who the project stakeholders are, and their key groupings and sub-groupings;
- In-depth review of stakeholder group interests, how they will be affected and to what degree, and what influence they could have on the project;
- Provides the basis from which to build a stakeholder engagement strategy.

2. Information Disclosure:

- Dissemination of introductory project information to stakeholders;
- Information should be accessible to interested and affected parties, in a language and level of detail to allow clear understanding;
- All other activities, including consultation, informed participation, negotiation and resolution of grievances will be affected by the quality and accuracy of the information disclosed.

3. Stakeholder Consultation

- Consultation should be a two-way process between the Project and its stakeholders;
- Must allow for the development of constructive external relationships;
- Can assist in development of a 'social license to operate', when undertaken early in the project lifecycle.

4. Negotiation and Partnerships

- Required to reach agreement on a specific issue or set of issues;
- The quality of stakeholder relationships are key, to establish trust and credibility;
- Important to maintain realistic expectations and develop mutually acceptable outcomes.

5. Grievance Management



- Environmental and social impacts may cause grievances and have implications for business performance;
 - A grievance mechanism should be scaled to fit the level of risks and impacts of a project;
 - A good overall engagement process, established at an early stage of the Project will reduce and/or prevent grievances from arising.
6. Stakeholder Involvement in Project Monitoring
- Stakeholder concerns can be alleviated by involving them in the monitoring of mitigation measures, environmental and social programmes;
 - Monitoring will strengthen relationships between the Project and its stakeholders;
 - Stakeholder involvement will encourage participating stakeholders to take responsibility for their environment and welfare.
7. Reporting to Stakeholders
- Ensures maintenance of good relationships;
 - Should be completed after consultation so that stakeholders know which of their ideas, concerns and suggestions have been incorporated;
 - Should be completed throughout the monitoring process so that stakeholders are informed about the projects impacts and the effectiveness of the mitigation measures.
8. Management Functions
- Stakeholder engagement is good practice and a standard part of corporate and social responsibility, and environmental and social management systems;
 - Managers should identify stages of the Project where stakeholder engagement is needed, to ensure good practice is maintained;
 - Managers should determine who will deliver the actions and integrate it with core business functions.



3 Regulatory Framework for Disclosure

3.1 Overview

It is understood that funding for the SGCCUP is being sought from international lenders, although the source of this lending is awaiting confirmation. As such the ESIA is in development in accordance with the Good International Industry Practice (GIIP) and the International Finance Corporation Performance Standards (IFC PS). As such the stakeholder engagement process for the SGCCUP project should align with the following frameworks and standards, providing guidance on disclosure and stakeholder engagement:

- Uzbekistan national legislation framework;
- The Organization for Economic Cooperation and Development (OECD) Common Approaches (2016);
- The International Finance Corporation Performance Standards (IFC-PS) 1 to 8 (IFC 2012);
- The Equator Principles (2020); and
- SGCC Environmental and Social Policy and Corporate Standards.

The regulator and best practice requirements for disclosure and stakeholder engagement for the project are outlined in the following sections.

3.2 National Policy & Legislation

Table 2 below confirms key relevant Republic of Uzbekistan national law / regulations and codes which include detail and aspects on disclosure of information and stakeholder engagement.

Area	Law / Regulation / Code	Summary
Waste Management	Law on Waste (No. 362-II of 05.04.2002) last amended 2011	This law enacts the State Committee for Nature Protection (SCNP) with administrative functions (coordination, inspection, ecological expertise, and regional monitoring parameters for waste processing). It also includes provision of basic human rights, ensuring the citizens of Uzbekistan have the right to a safe and healthy environment, to participate in the discussion of projects, and to compensation as a result of damages suffered as a result of a development or project. The Act also covers waste transport and the prohibition of storage / burial of radioactive waste.
General Environmental Protection	Law on Nature Protection (9/12/1992 No 754-XII) last amended 04.09.2014 (No. ZRU 373)	Specifies the processes of compliance with ecological requirements of the expected economic and other activities before the adoption of decision on their implementation
	Law on State Ecological Expertise (No. 73-II of 25.05.2000, last amended in 2011)	Realization of projects without the positive conclusion of state ecological examination is forbidden.



Area	Law / Regulation / Code	Summary
Freedom of Information	Law on appeals of the legal and natural entities (No.378 of 03.12.2014)	The purpose of the Law shall be regulation of the relations in the framework of appeals made by natural and legal entities (hereinafter referred to as appeals) to public authorities and public institutions (hereinafter referred to as public authorities). It shall stipulate the guarantees of the rights of legal and natural entities to appeal, as well as the procedure for filing of appeals and their consideration.
Stakeholder Engagement	Town Planning Code (No. 353-II of 04.04.2002) last amended 2011	Provisions for stakeholder consultation, environmental management and access to information. Confirms the public have the right to comprehensive and timely information on environmental conditions and future plans that could have a potential adverse impact on the environment. Citizens also have the right to take part in discussions on town planning processes.
Freedom of Information	Law on the Principles and Guarantees of Freedom of Information (No. 439-II of 12.12.2002)	Limitations on the access to information where it protects the rights and freedom of individuals, their moral values, and spiritual, cultural and scientific potential.
Government & Administration	Law on Self Government Institutions of Citizens	Regulation of citizens' self-government institutions, covering democracy, transparency, publicity, social justice, public recourse, social partnerships and consideration of local customs and traditions.

2 *National legislation covering information disclosure and stakeholder engagement*

3.2.1 National EIA Requirements for Stakeholder Engagement & Disclosure

Under the regulation on the State Environmental Review in the RoU (Annex No 1 to the Decree of the Cabinet of Ministers On approval of the Regulation on the State Environmental Review in the Republic of Uzbekistan” 491 dated 31/12/2001) public hearings are part of the National EIA (OVOS) process, where it is deemed necessary. The requirement for public hearing is decided at the discretion of the Government Ecological Expertise Agency (GEE) and the Client. The requirements for public hearings under OVOS are not well regulated. In some situations GEE completes the consultations with the public, in situations where there are disagreements or grievances from population in relation to the planned activity. Public hearings and public participation is often used for projects close to settlements. Public participation can also be required under OVOS through the local self-government (Mahalliya) process.



3.3 Applicable International Standards & Best Practice

3.3.1 The Organization for Economic Cooperation and Development (OECD) Common Approaches

The Organization for Economic Cooperation and Development (OECD) Common Approaches (2016) provides guidance for development of ESIA's and relevant information pertaining to disclosure and engagement as detailed in the following extracts:

Section II General Principles:

4. (v) Foster transparency, predictability and responsibility in decision-making, by encouraging disclosure of relevant environmental and social impact information, with due regard to any legal stipulations, business confidentiality and other competitive concerns.

Section V Environmental and Social Review

17. When undertaking a review, Adherents should indicate to the appropriate parties involved in the project the type of information they require, including, where appropriate, the need for an Environmental and Social Impact Assessment (ESIA). The applicant is responsible for providing the appropriate information to satisfy Adherents' requirements. The information to be supplied should include, but is not limited to:

- *Bullet Point No. 4: The results of any public consultations with local communities directly affected by the project and/or their legitimate representatives and of any engagement with other parties, such as civil society organisations, that have expressed an interest in the project. It is the responsibility of the buyer/project sponsor to undertake any such public consultations and/or engagements with interested parties. For the purposes of public consultations, environmental and social impact information should be made available to affected communities in a language accessible to them.*

19. The scope of a review for a Category B project may vary from project to project. Adherents should require appropriate information to be provided by the applicant that addresses the relevant environmental and social impacts of the project. Such information may be contained in an ESIA or in project-related assessment reports, planning and concept documents, environmental and social studies and plans, technical documentation of pollution control plans and criteria, applicable legal and regulatory frameworks, community engagement activities (information disclosure, dissemination, consultation and other participatory processes) and information collected during discussions with applicants.

Section VI Evaluation, Decision and Monitoring

36. Adherents should, where appropriate, encourage project sponsors to make ex post monitoring reports and related information including concerning how environmental and/or social impacts are being addressed publicly available at regular intervals, including in forms accessible to local communities directly affected by the project and other relevant stakeholders.

Section VII: Exchange and Disclosure of Information

39. Also taking into account the competitive context in which they operate and constraints of business confidentiality, for Category A projects, Adherents should:

- *disclose publicly project information, including project name, location, description of project and details of where additional information (e.g. ESIA report, summary thereof) may be obtained, such as a buyer and/or project sponsor contact point and/or website*



link, as early as possible in the review process and at least 30 calendar days before a final commitment to grant official support; and

- *require that environmental and social impact information (e.g. ESIA report, summary thereof) be made publicly available as early as possible in the review process and at least 30 calendar days before a final commitment to grant official support. Such information may be made publicly available by the Adherent or by an appropriate party involved in the project, such as the buyer and/or project sponsor.*

3.3.2 International Finance Corporation (IFC) Performance Standards

As defined in the Scoping Report (WP, 2017), the IFC-PS (IFC, 2012a) are a series of eight good international industry practice (GIIP) guidelines used to support project planning and feasibility studies, covering best international practice ESIA. IFC Performance Standard 1 (IFC, 2012b) and its supporting Guidance Note (IFC, 2012c) provide the most relevant guidance for ESIA disclosure, stakeholder engagement and consultation to GIIP. Extracts of the most relevant guidance pertaining to disclosure and stakeholder engagement are shown below:

IFC Performance Standard 1: Assessment and Management of Environmental and Social Risks and Impacts (IFC, 2012b):

A key object defined by IFC-PS1 is:

To promote and provide means for adequate engagement with Affected Communities throughout the project cycle on issues that could potentially affect them and to ensure that relevant environmental and social information is disclosed and disseminated.

Guidance on Stakeholder Engagement:

Section 25. Stakeholder engagement is the basis for building strong, constructive, and responsive relationships that are essential for the successful management of a project's environmental and social impacts.

Section 24. Stakeholder engagement is an ongoing process that may involve, in varying degrees, the following elements: stakeholder analysis and planning, disclosure and dissemination of information, consultation and participation, grievance mechanism, and ongoing reporting to Affected Communities. The nature, frequency, and level of effort of stakeholder engagement may vary considerably and will be commensurate with the project's risks and adverse impacts, and the project's phase of development.

Section 26. Clients should identify the range of stakeholders that may be interested in their actions and consider how external communications might facilitate a dialog with all stakeholders. Where projects involve specifically identified physical elements, aspects and/or facilities that are likely to generate adverse environmental and social impacts to Affected Communities the client will identify the Affected Communities and will meet the relevant requirements described below.

Section 27. The client will develop and implement a Stakeholder Engagement Plan that is scaled to the project risks and impacts and development stage, and be tailored to the characteristics and interests of the Affected Communities. Where applicable, the Stakeholder Engagement Plan will include differentiated measures to allow the effective participation of those identified as disadvantaged or vulnerable. When the stakeholder engagement process depends substantially on community representatives, the client will make every reasonable effort to verify that such persons do in fact represent the views of Affected Communities and that they can be relied upon to faithfully communicate the results of consultations to their constituents.

Guidance on disclosure of information:



Section 29. Disclosure of relevant project information helps Affected Communities and other stakeholders understand the risks, impacts and opportunities of the project. The client will provide Affected Communities with access to relevant information on: (i) the purpose, nature, and scale of the project; (ii) the duration of proposed project activities; (iii) any risks to and potential impacts on such communities and relevant mitigation measures; (iv) the envisaged stakeholder engagement process; and (v) the grievance mechanism.

Guidance on consultation:

Section 30. When Affected Communities are subject to identified risks and adverse impacts from a project, the client will undertake a process of consultation in a manner that provides the Affected Communities with opportunities to express their views on project risks, impacts and mitigation measures, and allows the client to consider and respond to them. The extent and degree of engagement required by the consultation process should be commensurate with the project's risks and adverse impacts and with the concerns raised by the Affected Communities. Effective consultation is a two-way process that should: (i) begin early in the process of identification of environmental and social risks and impacts and continue on an ongoing basis as risks and impacts arise; (ii) be based on the prior disclosure and dissemination of relevant, transparent, objective, meaningful and easily accessible information which is in a culturally appropriate local language(s) and format and is understandable to Affected Communities; (iii) focus inclusive engagement on those directly affected as opposed to those not directly affected; (iv) be free of external manipulation, interference, coercion, or intimidation; (v) enable meaningful participation, where applicable; and (vi) be documented.

IFC Guidance Note 1: Assessment and Management of Environmental and Social Risks and Impacts (IFC, 2012c):

Purpose of Stakeholder Engagement:

GN91. The purpose of stakeholder engagement is to establish and maintain a constructive relationship with a variety of external stakeholders over the life of the project and is an integral part of an effective and adaptive ESMS. Depending on the nature, risks and impacts of a project and the presence, or not, of Affected Communities the level of required stakeholder engagement can range from the implementation of a basic channel to receive external communications from the public to a comprehensive consultation process involving the active and Informed Consultation and Participation (ICP) of Affected Communities.

Guidance on Stakeholder Engagement:

GN93. If the process to identify risks and impacts indicates that there may be potential impacts and risks to the Affected Community, companies should seek early engagement with them. Engagement should be based on the timely and effective dissemination of relevant project information, including the results of the process of identification of environmental and social risks and impacts and corresponding mitigation measures, in languages and methods preferred by the Affected Communities and that allow for meaningful communication.

Guidance on definition of stakeholders:

GN95. For the purposes of Performance Standard 1, stakeholders are defined as persons, groups or communities external to the core operations of a project who may be affected by the project or have interest in it. This may include individuals, businesses, communities, local government authorities, local nongovernmental and other institutions, and other interested or affected parties. Stakeholder identification broadly involves the determination of the various individuals, groups or communities who may have an interest in the project or who may affect or be affected by the project. The process of stakeholder identification includes distinct steps, including (i) identifying individuals, groups, local communities and other stakeholders that may be affected by the project, positively or negatively, and directly or indirectly, particularly those directly and adversely affected by project activities, including those who are disadvantaged or



vulnerable; (ii) identifying broader stakeholders who may be able to influence the outcome of the project because of their knowledge about the Affected Communities or political influence over them; (iii) identifying legitimate stakeholder representatives, including elected officials, non-elected community leaders, leaders of informal or traditional community institutions, and elders within the Affected Community; and (iv) mapping the impact zones by placing the Affected Communities within a geographic area, which should help the client define or refine the project's area of influence.

Guidance on information disclosure:

GN99. Information disclosure involves delivering information about the project to the Affected Communities and ensuring access to such information by other stakeholders. The information should be in appropriate language(s), and accessible and understandable to the various segments of the Affected Communities. For example, information can be made available house-by-house, in city halls, public libraries, in the local print media, over the radio, or in public meetings. Disclosure and dissemination of information should be the basis of the client's consultation process. The timing and the method of disclosure may vary depending on national law requirements, the characteristics and needs of the Affected Communities, the type of assessment involved, and the stage of the project's development or operation but should be as early as possible.

GN100. Disclosure of information is normally expected as part of the process of identification of impacts and risks, but if the project is expected to create ongoing impacts and risks to the Affected Communities, the client should continue to provide information during the life of the project.

GN101. Clients should balance the need for transparency with the need to protect confidential information. They should exercise discretion in gathering personal data and information and should treat such data or information as confidential (except where disclosure is required by law).

3.4 International Lenders Requirements for Stakeholder Engagement & Disclosure

HOLD: Confirmation is awaited on environmental due diligence, stakeholder engagement and disclosure requirements from the appointed international lender for the project.

3.5 SGCC Stakeholder Engagement & Consultation Policy

SGCC will ensure all upgrade works completed as part of the project are carried in accordance with the SGCC Integrated Management System Policy, covering environmental, health and safety matters (Figures 3 & 4 below). This does not detail specific requirements for disclosure or engagement, however does confirm the following commitments which are considered relevant to this SEP:

- Adhering to internationally recognised legislation, rules and regulations, with regard to quality, industrial safety, health, labour and environmental protection;
- Building mutually beneficial and long term relations with consumers and vendors; and
- Adopting measures aimed at prevention of the negative impact of the enterprise activities on the environment, efficient use of natural resources, emergency and accident prevention.



INTEGRATED MANAGEMENT SYSTEM POLICY

Main objective of the Shurtan Gas Chemical Complex is production of the competitive and quality products, observance of safety regulations and health of employees, prevention of environment impact and continuously decreasing of energy and utilities consumption.

To this end, the Shurtan Gas Chemical Complex team shall pursue the following targets:

- Expanding range of products made by processing of hydrocarbon feedstock and reprocessing of polyethylene to lucrative high-end products.
- Adhering to internationally recognized legislation, rules and regulations with regard to quality, industrial safety, health, labor and environmental protection.
- Recognizing, studying and assessing standards, risks, and environmental implications for responding timely to revealed discrepancies and non-compliances.
- Preventing the occurrence of likely injuries and deterioration of health of the personnel, visitors and contractors.
- Professional development of the staff-based on continuous training and education of personnel along with laying down favorable conditions for attracting promising young specialists.
- Building mutually beneficial and long-term relations with consumers and vendors.
- Adopting measures aimed at prevention of negative impact of the enterprise activities on the environment, efficient use of natural resources, emergency and accident prevention.
- Continuous improvement of the integrated system of management aimed at enhancing efficiency of our operations as well as amelioration of the health, safety and environment indicators.

WORK OF THE SHURTAN GAS CHEMICAL COMPLEX MUST BE A BENCHMARK OF:

- PRODUCTION OF QUALITY PRODUCTS.
- PROTECTION OF HEALTH AND LABOR SAFETY.
- ENVIRONMENTAL SAFETY.
- ENERGY SAVING.

Director of the Shurtan Gas Chemical Complex:

O.Temirov

18 April 2017

3 SGCC Integrated Management System Policy (the original wording in Uzbek) (Source: SGCC, 2017).

4 SGCC Integrated Management System Policy (Translation) (Source: SGCC, 2017).

3.6 Summary

The requirements and procedures contained in the aforementioned regulatory framework, GIIP, lenders requirements (when confirmed) and those of SGCC concerning disclosure and engagement have been incorporated in the SEP procedures outlined in the subsequent sections of this report.



4 Stakeholder Analysis & Management

4.1 Stakeholder Mapping & Analysis

Stakeholders are persons or groups who are directly or indirectly affected by a project, as well as those who may have interests in a project and/or the ability to influence its outcome, either positively or negatively (IFC, 2012a). Stakeholders may include locally affected communities or individuals and their formal and informal representatives, national or local government authorities, politicians, religious leaders, non-governmental organisations (NGOs), civil society organisations and groups with special interests, the academic community, or other businesses.

During the Scoping Phase (WP, 2017) preliminary stakeholder identification was completed through desktop research and discussions with SGCC, and the following stakeholder categories and their potential project interests were determined:

Stakeholder Category	Key Interests
National Government Agencies	Interest in the national EIA and permitting procedures. Specialist knowledge in specific subject matters. Project permitting.
Regional and local administrative units	Overall project description and programme. Impacts and benefits of the project.
Non-Governmental Organisations (NGOs) – international, national and local	Overall project description and programme. Environmental and social interest. Varying degrees of influence.
Communities	Overall project description and programme. Environmental and social impacts and benefits interest.
Industry Partners	Overall project description and programme. Interest in the safe and successful execution of the Project without impacting on their own operations

3 Identified Stakeholder Categories and Key Interests

4.2 Stakeholder Engagement Register

Preliminary stakeholders were identified during the Scoping Phase which have been further defined in the Stakeholder Engagement Register (Appendix A), in accordance with the categories identified in Table 3 above. This Register will be utilised throughout the ESIA engagement and disclosure activities and will be regularly reviewed and updated. It will serve to detail the key project stakeholders, identify their interests, likely project influence and maintain a record of their contact details and preferred means of correspondence. It will assist the ESIA project in understanding likely areas of concern, which in turn will help for these to be addressed.

4.3 Stakeholder Database

A Stakeholder Database will be maintained to document each engagement activity completed e.g. meeting minutes, formal consultations, formal information requests, informant interviews, focus group findings etc. It will record stakeholders specific questions, concerns and issues highlighted concerning the project and / or ESIA. The database will note grievances raised as part of the ESIA engagement, however these will be managed as per the requirements of the SGCC Grievance Mechanism (Section 7).



4.4 Consultation Report

The stakeholder database will not be included in the final ESIA, however a summary Consultation Report (included in the ESIA Appendices) will document the list of stakeholders identified and consulted as part of the ESIA process, and will include the information disclosed to stakeholders, meeting agendas and minutes, questions raised and confirm attendance at engagement events.

4.5 Commitments Register

A Commitments Register will be included in the final ESIA, which will confirm how commitments made by SGCC to stakeholders and the wider project commitments have either been implemented as part of the ESIA or will be implemented as part of the construction and / or operation of the project. The Commitments Register will allocate a responsible person to manage the identified issues until closure of the project.



5 Stakeholder Engagement Plan

5.1 Disclosure of Information - Purpose

Disclosure of information on the SGCC upgrade project will enable stakeholders to gain understanding of the environmental and social risk, possible project impacts and the mitigation measures to be incorporated through the project life cycle. Disclosure of information is in line with the GIIP requirements of OECD and IFC defined in Section 3.3. Information disclosure and the development of stakeholder relations aims to:

- Provide information and raise awareness about the project and its lifecycle to identified stakeholders;
- Provide information on the intended environmental and social management;
- Provide stakeholders with the opportunity to ask questions, provide feedback / suggestions, and also raise concerns for consideration as part of the ESIA and overall project design; and
- To provide information on SGCC's practices relating to environmental management and protection, and occupational health and safety in relation to the upgrade projects design, construction and operation.

5.2 ESIA Stakeholder Engagement

5.2.1 Scoping and Baseline Data Collection

As detailed in the ESIA Scoping Report, data gaps and requirements for collection of additional baseline data in socio-economics, health, cultural heritage and local landuse were identified. It is envisaged that much of this data will be obtained through the stakeholder engagement process as opposed to secondary sources and databases.

5.2.2 Engagement Methods and Activities

Primary baseline data collection will be completed via a combination of methods including:

Face To Face Individual Meetings

Face to face meetings would be undertaken with key stakeholders to inform them of the project, provide project information and provide opportunity for feedback and questions.

Informant Interviews

The informant interviews will be semi-structured around defined questions focused on acquiring specific baseline data. However, the interviews will be flexible enough to gather additional information relevant to the project, including views, questions and/or concerns raised by the stakeholders, relevant to the ESIA and overall project.

Focus Group Meetings

These will comprise small group meetings of likeminded stakeholder groups. Discussions will be based around structured questions to obtain data and feedback for incorporation into the ESIA. Again views, questions and/or concerns raised by the stakeholders during the focus group meetings, relevant to the ESIA and overall project will be documented.

***Public Consultation Meetings***

It is anticipated public hearings / consultations will occur if required under the OVOS / national EIA requirements, These will comprise public presentations of the project and ESIA information at an advertised location at a specific meeting time. Information will be formally presented using slides / posters (pending the facilities and audience), by project representatives and technical specialists. The panel will take questions and listen and record concerns and views. Information collected as part of the OVOS / national EIA process during any public hearings will be utilised in the ESIA, where available.

Formal Letter Correspondence

Formal letters will provided to some identified stakeholders providing information on the project description and programme, to request specific data, information or written views/status of the project.

Socioeconomic and Landuse Surveys

Specific baseline surveys may also be undertaken for socioeconomics and landuse, conducted by specialist contractor teams if required, to characterise landuse and settlements in the Area of Interest (Aoi) of the project.

Recommended general stakeholder engagement events and activities for each stakeholder group identified are summarised in Table 7 and reference should also be made to the Stakeholder Engagement Register (Appendix A).



Stakeholder Group	Stakeholder Engagement Method*					
	Face to Face Meetings	Informant Interviews	Focus Groups	Public Meetings**	Formal Letter	Surveys
National Government Agency	✓	✓			✓	
Regional & Local Administrative Units	✓	✓			✓	
Non-Governmental Organisations (NGOs) – international, national and local	✓				✓	
Communities		✓	✓			✓
Industry Partners	✓				✓	

4 Stakeholder Engagement Methods

*Multiple engagement methods may be used for each stakeholder / stakeholder group. Reference should be made to Appendix A where the Stakeholder Register is presented, showing specific engagement means recommended.

**It is expected information from any public hearings held as part of the OVOS / national EIA process will be utilised in the ESIA.

5.2.3 ESIA Information Disclosure

It is recommended that the following information is used in disclosure activities relating to the ESIA:

- Project description;
- Project Programme;
- ESIA Scoping Report;
- Informant interview / focus group targeted questions;



- Engagement specific materials e.g. project leaflet / brochure – providing an overview of the project, ESIA process and contact details for further information, questions or concerns;
- SGCC company information / HSSE procedures;
- ESIA Non-Technical Summary.

Reference should be made to Appendix A (Stakeholder Engagement Register) which confirms the recommended disclosure information for each identified stakeholder.

All information provided to stakeholders must be easy to understand, applicable and provided in the local language.

5.2.4 Logistics of ESIA Baseline Data Collection

The responsibility for stakeholder engagement is maintained by SGCC. Environmental Consultant (if any) will support SGCC throughout the ESIA process to meet stakeholder engagement requirements in accordance with the lenders requirements, IFC Performance Standards and other GIIP. No engagement or consultation activities will be undertaken by Environmental Consultant without the specific approval and involvement of SGCC.

5.2.5 Engagement Programme

It is recommended stakeholder engagement is undertaken throughout the ESIA project at the following milestones:

1. Scoping Phase – to provide an introduction to the project and ESIA project and capture concerns and views for inclusion within the ESIA;
2. Baseline data collection phase – to obtain data for inclusion within the ESIA; and
3. Completion of the draft ESIA – to summarise the findings and recommendations of the ESIA;
4. Submission of the final ESIA – to demonstrate how comments raised during (3) have been incorporated into the ESIA and/or project.

5.2.6 ESIA Disclosure

The GIIP guidelines outlined within OECD and IFC define the importance of completion of engagement and disclosure of the ESIA to provide the findings of the impact assessment and environmental and social management plans to interested stakeholders. At this stage the following documentation should be disclosed:

- ESIA Report;
- ESMP; and
- Non-Technical Summary.

5.2.7 Construction & Operations

Whilst outside the remit of this ESIA focused SEP, it is recommended that stakeholder engagement is continued through the project life cycle in accordance with GIIP. By undertaking engagement with stakeholders during the construction and operations phases links will be maintained with stakeholders and confirmation can be provided to the relevant stakeholder groups that mitigations and



commitments provided as part of the ESIA have been implemented. During these phases the SEP should be reviewed and updated as applicable to reflect significant project changes and / or requirements for additional engagement.

5.2.8 Decommissioning & Closure

Again as per Section 5.2.7, decommissioning is outside the scope of this ESIA SEP; however stakeholder engagement should be maintained during a decommissioning phase to reduce impacts from potential environmental or social legacy issues. A revision to the SEP should be undertaken specifically for the decommissioning and closure phase of the SGCC site.



6 Roles & Responsibilities

6.1 SGCC General Responsibility

The responsibility for stakeholder engagement is maintained by SGCC. Environmental Consultant (if any) will support SGCC throughout the ESIA process to meet stakeholder engagement requirements in accordance with the lender's requirements, IFC Performance Standards and other GIIP. No engagement or consultation activities will be undertaken by Environmental Consultant without the specific approval and involvement of SGCC. The stakeholder engagement and disclosure requirements are as defined in this document and Stakeholder Engagement Register ((Appendix A).

6.1.1 SGCC Roles and Responsibilities

SGCC will take full responsibility for overall stakeholder engagement and disclosure process.

Human resource requirements for stakeholder engagement need to be commensurate with the Project context. SGCC intends to appoint Community Liaison Officer (CLO) who will be responsible for all actions required. The level of stakeholder interest will determine whether additional SGCC human resources are needed. If consultation activities generate large quantities of issues and questions, additional staff will be needed to managed documentation requirements. The need for additional staff will be assess on a regular basis.

6.2 In-country Engagement and Disclosure Activities

Those parties leading in-country ESIA engagement and disclosure activities for the project will have the following responsibilities with respect to this Stakeholder Engagement Plan:

- Management of all ESIA engagement and disclosure events e.g. public participation meetings, interviews and focus group discussions;
- Manage all written and visual information provided to stakeholders, ensuring SGCC's approval before use;
- Ensure frequent co-ordination between the parties to ensure provision of accurate and appropriate technical, environmental and socioeconomic information, at a level appropriate to the stakeholder audience and in an understandable form (considering local language requirements).
- Will be appropriately trained and experienced in stakeholder engagement and disclosure activities;
- Ensure that all engagement and disclosure events are recorded and documented;
- Ensure that all requests to stakeholders for baseline data are recorded and documented;
- Co-ordinate and document all communications (written and verbal) received from the stakeholders;
- Ensure that all actions or commitments made during all engagement or disclosure events are recorded and tracked through a 'Commitments Register', and ensure they are appropriated closed out, and included where appropriate, within the ESIA;
- Understand the SGCC Grievance Mechanism and ensure that any grievances raised in respect of the project are reported to SGCC in accordance with this procedure;



6.2.1 Program for Engagement and Disclosure Activities

A public hearing is a requirement of Uzbekistan legislation. In consultation with government authorities, WorleyParsons and SGCC considered four public hearings to be sufficient to provide all stakeholders with an opportunity to discuss the ESIA findings. Stakeholders were invited to suggest additional public hearings, but no stakeholder suggested any additional meetings.

It was assumed that interested stakeholders from smaller settlements would be able to attend hearings in Guzar or Nishon. To facilitate this participation, SGCC provided buses from smaller settlements. For the public hearing in Guzar, buses were arranged from the villages of Eshonkuduk, Abduhamid and Kengsoy. For the public hearing in Nishon, buses were arranged from the villages of Otkuduk and Navbakhor.

All public hearings were advertised in media and through the distribution of posters. Hard copies of the non-technical summary were also distributed widely in the regional and local area of influence, including regional government buildings, all local villages in the area of influence and some commercial enterprises.

In addition to posters, print media were used to broadly invite stakeholders and inform them of how to request additional information. More detailed information is presented in the Appendices to the ESIA (refer to Volume 2).



7 Grievance Mechanism

7.1 Purpose and Scope

A grievance mechanism is a management tool which is designed to help provide resolutions to specific stakeholder concerns raised in relation to the project. A publicised and available grievance mechanism can assist stakeholder management through facilitation of a trustworthy relationship between the project and relevant stakeholders, ensuring grievances are appropriately documented, tracked and clearly managed to ensure successful and transparent resolution.

The grievance mechanism should include:

- Instructions on how grievances should be handled if they are received;
- Confirmation of grievance reporting requirements;
- Timeframes in which stakeholders should receive a reply; and
- Outline of the appropriate management procedure and responsibilities in resolving the grievance.

7.2 Procedure

Any grievances received as part of the ESIA project will follow the SGCC defined Grievance Mechanism.

7.3 Responsibilities

Any grievances reported during the ESIA stakeholder engagement and disclosure activities will be passed to SGCC for resolution in accordance with the SGCC Grievance Mechanism. It will remain the responsibility of SGCC to report and resolve all grievances in accordance with their procedure to ensure mutually acceptable resolution within a reasonable timeframe.



8 Reporting

8.1 Stakeholder Engagement Plan

The SEP should be reviewed and updated periodically with the development of the project during the design and construction phases and pending any specific project design changes, following the submission of the ESIA and implementation of the Environmental and Social Management Plan (ESMP). It is recommended that the SEP is continually reviewed and updated for the duration of the operational and subsequent decommissioning / closure phases by SGCC or other defined party.

8.2 Recording of Engagement / Disclosure Events

All stakeholder meetings, interviews and other engagement or disclosure activities completed as part of the ESIA will be recorded in the Stakeholder Engagement Register (Appendix A). This register will document all issues, questions, concerns and actions raised and will be maintained for inclusion within the final ESIA, with evidence of how they have been addressed. The status of actions will be periodically reviewed and relevant stakeholders will be informed of their status and whether closed out. The recommended frequency for engagement with each stakeholder is shown in the Stakeholder Engagement Register.

8.3 Reporting of Grievances

Any grievances raised during the ESIA engagement process will be recorded and managed through the SGCC Grievance Mechanism (Section 7). It is recommended that the results and outcomes of any grievances in relation to the project are reviewed and summarised on a regular basis by SGCC (or other defined party) as part of their overriding Environmental Management System / Corporate and/or performance monitoring requirements.



9 References

Ref. No.	Reference
1	IFC, 2007, Environmental, Health and Safety Guidelines. General EHS Guidelines: Environmental, Air Emissions and Ambient Air
2	IFC, 2012a, Performance Standards on Environmental and Social Sustainability
3	IFC, 2012b Performance Standard 1: Assessment and Management of Environmental and Social Risks and Impacts
4	<u>IFC, 2012c, Guidance Note 1: Assessment and Management of Environmental and Social Risks and Impacts</u>
5	Organization for Economic Cooperation and Development (OECD), 2012, Recommendation of the council on common approaches for officially supported export credits and environmental and social due diligence (the "Common Approaches")
6	Organization for Economic Cooperation and Development (OECD), 2016, Recommendation of the council on common approaches for officially supported export credits and environmental and social due diligence (the "Common Approaches")
7	World Bank, 2007, Environmental, Health and Safety General Guidelines
8	WorleyParsons, 2017, SGCC, ESIA Scoping Report
9	WorleyParsons, 2016, Basis Of Design



APPENDIX A – STAKEHOLDER ENGAGEMENT REGISTER

Appendix A. SGCCUP Stakeholder Engagement Register
National Government Agency

Stakeholder Category	Identified Stakeholders	Representatives (Name of Departments, or names of personnel)	Contact Details	Key Interests and Areas Covered	Engagement Objectives	Project Information to be Disclosed	Method of Engagement	Recommended Frequency of Engagement	Influence	Interest	Action
National Government Agency	State Committee for Nature Protection	Obломuradov Narzullo Naimovich Chairman of the State Committee of the Republic of Uzbekistan for Ecology and Environmental Protection	Address: 100043, Tashkent, Chilanzar district, Bunyodkor ave., 7-A Phone: +998(71) 207-07-70 Fax: +998(71) 236-02-25 E-mail: info@eco.gov.uz uznature@exat.uz	Interest in the national EIA and permitting procedures. Specialist knowledge in specific subject matters. Project permitting. National / Agency - Key interests: – environmental protection, environmental permitting, environmental pollution and charging for the use of natural resources	Approval of National EIA in accordance with National framework. To inform and engage on the project description & expected programme; Provide regular updates as to status of EIA. Approval of ESIA not necessary due to compilation of National EIA (separately) in accordance with National framework.	Project Description, relevant baseline information; ESIA upon request. Also data requests for baseline.	Face to face meeting / Formal correspondence by letter	Ongoing throughout EIA process - following completion of key milestones	High	High	Partner
National Government Agency	Ministry of Health	Musaev Behzod Anvarovich Minister of Health of the Republic of Uzbekistan	Address: 100011, Tashkent, Shayhontahur district, Navoiy str. 4 Phone: +998(71) 239-47-95 Fax: +998(71) 241-16-34 E-mail: info@ssv.uz www.ssv.uz	Interest in the national EIA and permitting procedures. Specialist knowledge in specific subject matters. National / Agency - Key interests: – coordination and monitoring of health protection legislation, organisation of primary healthcare services for the population within the State, permitting of medical and pharmaceutical activity, implementation of the standardization and certification of medicines and drugs approved for use in the territory of the RoU	To inform and engage on the project description & expected programme; Provide regular updates as to status of GIIP ESIA if required.	Project Description, relevant baseline information; EIA upon request. Also data requests for baseline.	Formal correspondence by letter	Ongoing throughout EIA process - following completion of key milestones	Medium	Medium	Meet Requirements
National Government Agency	Ministry of Agriculture	Voitov Aziz Botirovich Minister of Agriculture of the Republic of Uzbekistan	Address: 100140, Tashkent region, Kibray district, University str. 2 Phone: +998(71) 206-70-30, (1243) E-mail: info@agro.uz, www.agro.uz.	Interest in the national EIA and permitting procedures. Specialist knowledge in specific subject matters. Project permitting. National / Agency - Key interest: ensuring the strict observance of legislation on the use of land and water resources	To inform and engage on the project description & expected programme; Provide regular updates as to status of GIIP ESIA if required.	Project Description, relevant baseline information; EIA upon request. Also data requests for baseline.	Face to face meeting / Formal correspondence by letter	Ongoing throughout EIA process - following completion of key milestones	High	High	Meet Requirements
National Government Agency	State Committee of the Republic of Uzbekistan for Geology and Mineral Resources	Islamov Bobir Farxadovich Chairman of the State Committee of the Republic of Uzbekistan for Geology and Mineral Resources	Address: 100060, Tashkent Shevchenko str. 11 Phone: +998(71) 256-86-53 Fax: +998(71) 256-22-75 E-mail: info@uzgeolcom.uz	Interest in the national EIA and permitting procedures. Specialist knowledge in specific subject matters. Project permitting. National / Agency - Key interest: accreditation, inspection and supervision in the coal, mining and mineral industry, safe use of gas facilities, oversight of the oil and gas industry	To inform and engage on the project description & expected programme; Provide regular updates as to status of GIIP ESIA if required.	Project Description, relevant baseline information; ESIA upon request.	Formal correspondence by letter	Ongoing throughout preparation for Construction Phase	Medium	Medium	Meet Requirements
National Government Agency	The Cadastre Agency under the State Tax Committee	Pulatov Farrux Jaxongirovich Chairman of the State Committee of the Republic of Uzbekistan for Land Resources, Geodesy, Cartography and State Cadastre	Address: 100097, Tashkent, Chapanata str.5 Phone: +998(71) 273 84 01 www.kadastr.uz	Specialist knowledge in specific subject matters. National / Agency - Key interest: state control over rational use and protection of lands, management of geodetic and mapping activities, organization of State oversight survey.	To request data and information for inclusion in the baseline	Data requests for baseline	Formal correspondence by letter	Commencement of baseline	High	High	Meet Requirements
National Government Agency	Ministry of the Internal Affairs	Bobojonov Pulat Razzaqovich Minister of Internal Affairs of the Republic of Uzbekistan	Address: 100029, Tashkent, Yunus Rajabiy str. 1 Phone: +998 (71) 231-33-46 +998 (71) 231-43-04 E-mail: info@iiv.uz www.iiv.uz	Specialist knowledge in specific subject matters. National / Agency - Key interest: protection of rights, freedoms and lawful interests of citizens, protection of public order and public security.	To inform and engage on the project description & expected programme upon request	Upon request	Direct engagement unlikely to require	No current requirement for engagement - review at each milestone	Low	Low	No Current Action
National Government Agency	Ministry of Construction	Zakirov Batir Irkinovich Minister of Construction	Address: 100011, Tashkent, Abay Str. 6 Phone: +998(71) 210-11-04 Fax: +998(71) 210-11-04 Email: info@mc.uz www.mc.uz	Interest in the Project design documents and permitting procedures. Project permitting. National / Agency - Key interests: – structural safety of facilities; – conclusion on compliance with local codes and standards for construction	To inform and engage on the project description & expected programme upon request	Submission of Project design documents for further review and approval (expertizing)	Direct engagement via formal correspondence	Regular, as per design documents issuance schedule	High	High	Meet Requirements
National Government Agency	State Committee for Industrial Safety	Gulyamov Baxtiyor Vaxabovich Chairman of the State Committee of Industrial Safety	Address: 100011, Tashkent, A. Navoiy str. 27 Email: info@scis.uz – scis@exat.uz Phone:+998 71 244 23 53 Fax: +998 71 244 21 22 www.scis.uz	Interest in the Project design documents and permitting procedures. Project permitting. National / Agency - Key interests: – industrial safety of facilities; – conclusion on compliance with local codes and standards for industrial safety	To confirm compliance of Project design to industrial safety requirements	Submission of Project design documents for further review and approval (expertizing)	Direct engagement via formal correspondence	Upon completion of design stage	High	High	Meet Requirements
National Government Agency	UzStandart Agency	Sattarov Dilshod General Director of Uzstandart Agency	Address: 100049, Tashkent, Farobiy str. 333 «A» Email: uzst@standart.uz Fax: +998(71) 246-17-63 Tel: +998(71) 202-00-11 (1031) www.standart.uz	Interest in the Project equipment certification and permitting procedures. National / Agency - Key interests: – metrology and certification	To confirm compliance of equipment/materials to local requirements	Submission of Project equipment data book for certification	Direct engagement via formal correspondence	Regular, according to equipment/materials delivery schedule	High	High	Meet Requirements
National Government Agency	National Guard of the Republic of Uzbekistan	Djurayev Rustam Mirzayevich Commander of the National guard of the Republic of Uzbekistan, major general	Address: 100017, Tashkent, Sharof Rashidov ave. 23 Phone: +998(71) 236-50-50 E-mail: info@milliyvardiya.uz www.milliyvardiya.uz	National / Agency - Key interest: – protection of property; – insurance of public order and public security.	To inform and engage on the project description & expected programme upon request	Upon request	Service contract (if required)	Continuously, based on service contract terms and conditions	Medium	Medium	Partner
National Government Agency	Ministry of Emergency Situations	Kuldashev Abdulla Khamidullayevich Minister of Emergency Situations of the Republic of Uzbekistan, Major-general	Address: 100084, Tashkent, Yunusabad district, Kichik halka yuli. 4 Phone: +998(71) 239 16 85 E-mail: info@fvv.uz www.fvv.uz	Interest in the Project design documents. National / Agency - Key interests: – fire safety of facilities; – conclusion on compliance with local codes and standards for Fire safety; – control of fire safety during construction phase	To confirm compliance of Project design to fire safety requirements	Submission of Project design documents for further review and approval (expertizing)	Direct engagement via formal correspondence	Regular, as per design documents issuance and construction schedule	High	High	Meet Requirements

Appendix A. SGCCUP Stakeholder Engagement Register
Administrative Units

Stakeholder Category	Identified Stakeholders	Representatives (Name of Departments, or names of personnel, if known)	Contact Details	Key Interests and Areas Covered	Engagement Objectives	Project Information to be Disclosed	Method of Engagement	Recommended Frequency of Engagement	Influence	Interest	Action
Regional and local administrative units	Kashkadarya Hakimiat	Azimov Murotjon Berdialiyevich Hakim of the region	Address: 180100, Kashkadarya region, Karshi, Musttakillik str. 1 Phone: +998 (71) 200-55-05, +998 (75) 221-07-60 E-mail: info@qashqadaryo.uz	Overall project description and programme. Impacts and benefits of the project. Regional / Key interests: legality, law and order and safety of citizens, questions of economic, social and cultural development of territories, environmental protection	To inform and engage on the project description & expected programme.	Project Description, Programme	Face to face meeting / Formal correspondence by Letter	Ongoing throughout Project lifecycle	Low	Low	Inform
Regional and local administrative units	Kashkadarya regional department for ecology and environmental protection	Ibragimov Ganisher Ismoilovich Chairman	Address: 180100, Kashkadarya region, Karshi, Djayhun str. Phone: +998 (375) 221-09-59, +998(98)771-00-43 E-mail: karshi@uznature.uz	Overall project description and programme. Impacts and benefits of the project. Regional / Key interests: environmental protection, environmental permitting, environmental pollution, charging for the use of natural resources, supervision on implementation of environmental legislation	To inform and engage on the project description & expected programme. Control and monitor on compliance with environmental legislation	Project Description; Completed EIA	Face to face meeting / Formal correspondence by Letter / Informant Interview	Ongoing throughout Project lifecycle	High	High	Partner
Regional and local administrative units	Department of Agriculture and Water Resources of Kashkadarya region	Boymirov Obloyar Mamurovich Chief	Address: Kashkadarya region, Karshi, Uzbekistan str. 81A Phone: +998 (98) 275-82-10 Fax: (0375) 221-06-61 E-mail: qashqadaryo@agro.uz	Overall project description and programme. Impacts and benefits of the project. Regional / Key interests: ensuring the strict observance of the legislation on the use of land and water resources.	To inform and engage on the project description & expected programme. To obtain permits for water use for operation phase	Upon request: - Project Description, - Completed EIA	Face to face meeting / Formal correspondence by Letter / Informant Interview	Ongoing throughout Project lifecycle	Medium	Medium	Inform
Regional and local administrative units	Division of Social Protection and Labour of Kashkadarya region	Gafurov Rustam Abdurasulovich Chief	Address: 180100, Kashkadarya region, Karshi, Hanabad str.46 Phone: +998 (375) 224-04-80 E-mail: qashqadaryo@mehnat.uz www.qashqadaryo.mehnat.uz	Overall project description and programme. Impacts and benefits of the project. Regional / Key interests: development and regulation of the labour market and employment, regulation of labour relations and labour protection, the provision of social services to the population	To inform and engage on the project description & expected programme.	Upon request: - Project Description - Social Management and Monitoring Plan	Face to face meeting / Formal correspondence by Letter / Informant Interview	Ongoing throughout Project lifecycle	Low	Medium	Show Consideration
Regional and local administrative units	Division of Health of Kashkadarya region	Tulakov Ravshan Panjiyevich Chairman	Address: 180100, Kashkadarya region, Karshi, Hanabad high road str. 28 Phone: +998 (78) 771-03-34 Fax: +998 (375) 223-03-65 E-mail: qashqadaryo.vssb@minzdrav.uz www.qdvsb.uz	Overall project description and programme. Impacts and benefits of the project. Regional / Key interests: provision of health care services, emergency care, wellbeing, prophylaxis of diseases, medicare, disability and medical examination. Increase knowledge and awareness amongst community, related to blood-borne pathogens, bacterial and infectious diseases (including COVID-19);	Exchange Information on Project status/progress. Involvement to programmes against expected blood-borne pathogens, bacterial and infectious diseases (including COVID-19)	Statistics related to employees diseases, preventive measures, etc.	Face to face meeting / Formal correspondence by Letter	Ongoing throughout Project lifecycle	Medium	Medium	Show Consideration
Regional and local administrative units	Kashkadarya Regional Department of State Committee for Industrial Safety	Mamatov Ismoil Keldiyarovich Chief	Address: 130000, Kashkadarya region, Karshi, Musttakillik str. 35 Phone: +998 (375) 221 06 77 Fax: +998 (375) 221 06 77 E-mail: qashqadaryo@sgktn.gov.uz	Overall project description and programme. Impacts and benefits of the project. Regional / Key interests: supervision and maintenance of industrial safety legislation on hazardous facilities, safe use of gas facilities; supervision and oversight of the oil and gas industry	To inform and engage on the project description & expected activities.	The final list of equipment and machinery subject to authority control	Face to face meeting / Formal correspondence by Letter	Ongoing throughout Project lifecycle	High	High	Meet Requirements
Community Executive Bodies	Nishon Hakimiat	Hayitmuradov Muxtar Quldoshevich Hakim of District	Address: 1800100, Kashkadarya region, Yangi Nishon city, Uzbekistan str. 21 Phone: +998 (75) 512-11-00 E-mail: t.nishon@exat.uz www.nishonpress.uz	Overall project description and programme. Environmental and social interest. Local / Key interests – local services and infrastructure / local jobs	To inform and engage on the project description & expected programme.	Project Description, Project Programme, Social Management and Monitoring Plan	Informant Interview / Focus Group Meeting / Public Meeting . Survey	Ongoing throughout Project lifecycle	Low	Medium	Show Consideration
Community Executive Bodies	Guzor Hakimiat	Suyunov Bekzod Olimovich Hakim of District	Address: 180300, Kashkadarya region, Guzar district, Uzbekistan str. 14 Phone: +998 (75) 552-18-04 E-mail: t.guzor@exat.uz www.guzor.uz	Overall project description and programme. Environmental and social interest. Local / Key interests – local services and infrastructure / local jobs; - legality, law and order and safety of citizens, questions of economic, social and cultural development of the region, environmental protection	To inform and engage on the project description & expected programme.	Project Description, Project Programme, Social Management and Monitoring Plan	Informant Interview / Focus Group Meeting / Public Meeting . Survey	Ongoing throughout Project lifecycle	Low	Medium	Show Consideration
Regional and local administrative units	Regional branch of Sanitary-epidemiological welfare and public health service of the Republic of	Sherov Sherbay Norboevich Chief physician	Address: 180100, Kashkadarya region, Karshi, Amira Temur St. 74 Phone: +998 (75) 225-06-08 Fax: +998 (75) 225-07-23	Overall project description and programme. Impacts and benefits of the project. Regional / Key interests: control of the sanitary epidemiological condition, provision of services for prevention of blood-borne pathogens, bacterial and infectious diseases (including COVID-19).	Exchange Information on Project status/progress. Involvement to programmes against expected blood-borne pathogens, bacterial and infectious diseases (including COVID-19)	Statistics related to employees diseases, preventive measures, etc.	Face to face meeting / Formal correspondence by Letter	Ongoing throughout Project lifecycle	Medium	Medium	Show Consideration
Regional and local administrative units	Kashkadarya regional department of Family and Womens State Committee	Karamova Komila Zaydullayevna Chief	Address: 180100, Kashkadarya region, Karshi, Musttakillik str. 1 Phone: +998 (75) 221-05-49 Fax: +998 (75) 221-05-49 E-mail: info@qashqadaryo.uz	Overall project programme. Environmental and social interest. Local / Key interests – local services and infrastructure / local jobs	To inform and engage on the project description & expected programme.	Upon request: - Project Description - Social Management and Monitoring Plan	Face to face meeting / Formal correspondence by Letter / Informant Interview	Ongoing throughout Project lifecycle	Medium	Medium	Meet Requirements
Regional and local administrative units	The Regional Council of Farmers, Dekhkan Farms and Household Land Owners	Tursunov Igor Qaxxorovich Chief	Address: Kashkadarya region, Karshi, I. Karimov str. 81A Phone: +998 (75) 221-76-37 E-mail: fermerlarkengashi@mail.ru	Overall project programme. Environmental and social interest. Local / Key interests: – Land allotment – local services and infrastructure	To inform and engage Affected Communities on the project Associated Facilities description & construction programme.	Upon request: - Project Description - Sequence and order of actions for Land Allotment	Face to face meeting / Formal correspondence by Letter / Informant Interview	Upon issuance of Land allotment dossier till the AF's construction completion	High	High	Meet Requirements

Appendix A. SGCCUP Stakeholder Engagement Register
Non-Governmental Organizations

Stakeholder Category	Identified Stakeholders	Representatives (Name of Departments, or names of personnel, if known)	Contact Details	Key Interests and Areas Covered	Engagement Objectives	Project Information to be Disclosed	Method of Engagement	Recommended Frequency of Engagement	Influence	Interest	Action
Non-Governmental Organisations (NGOs) – international, national and local	Kashkadarya Branch of the Union of Youth	Sattarov Shamurod Representative of the Kashkadarya Council of the Union of Youth of Uzbekistan	Address: Kashkadarya region, Karshi, Uzbekistan str. 288 Phone: +998(75) 225-47-90 +998 (75) 225-51-37 Fax: +998(75) 225-47-90	Overall project description and programme. Environmental and social interest. Varying degrees of influence. National / Key Interests: Association of young people which provides education in requirements for a healthy way of life, provides support in finding of a deserving place in society, all-round defence of interests of young people, development of potential	To inform and engage on the project description & expected programme.	Project Description, Programme	Formal correspondence	Considered no current requirement for engagement - review during project lifecycle	Low	Low	No Current Action
Council of the Federation of Trade Unions of Uzbekistan	The Kashkadarya Regional Council of the Federation of Trade Union of Uzbekistan	Djurayev Baxtiyor Tuychiyevich Director of the Kashkadarya Regional Council of Trade Union Organizations	Address: Kashkadarya region, Karshi, I.Karimov str. 243 Phone: +998 (75) 225-06-31	Overall project description and programme. Social interest. Varying degrees of influence. National / Key Interests: Represents interests of employees in region. Supporting on resolving labor disputes.	To inform and engage on the project description & expected programme.	Project Description, Programme	Formal correspondence	Ongoing throughout project lifecycle, but not less than once per three year	Low	Low	Show Consideration
Council of the Federation of Trade Unions of Uzbekistan	Kashkadarya Regional Council of the Trade Union of Energy, Oil and Gas and Geology Workers of Uzbekistan	Yusupov Akbar Koxxarovich Director of the Kashkadarya Regional Council of the Trade Union of Energy, Oil and Gas and Geology Workers of Uzbekistan	Address: Kashkadarya region, Karshi, I.Karimov str. 243 Phone: +998(90) 863-60-50	Overall project description and programme. Social interest. Varying degrees of influence. National / Key Interests: Represents interests of employees in regional oil&gas companies. Supporting on resolving labor disputes. Approval of key engagement principles between management and employees. Healthcare activities and social support for the employees.	To inform and engage on the project description & expected programme.	Project Description, Programme	Face to face meeting / Formal correspondence by Letter / Annual conferences	Ongoing throughout project lifecycle, but not less than once per three year	Medium	Medium	Show Consideration
Fire Protection Association of Uzbekistan	Kashkadarya Regional Branch of the Fire-fighting Association of Uzbekistan	Ishpulatova Saygul Timirovna Director of Kashkadarya Regional Branch of the Fire-fighting Association of Uzbekistan	Address: 107401, Kashkadarya region, Karshi, A.Yassaviy str.24 Phone: +998 (75) 221-05-37	Overall project description and programme. National / Key Interests: Supporting activities and promotion of safe practices.	To inform and engage on the project description & expected programme.	Project Description, Programme	Formal correspondence by Letter	Ongoing throughout project lifecycle	Low	Low	No Current Action
Non-Governmental Organisations (NGOs) – local	Kashkadarya Regional Football Association	Igamberdiyev Shuxrat Nigmatovich Director of Kashkadarya Regional Football Association	Address: Kashkadarya region, Karshi, I.Karimov str. 308 Phone: +998 (90) 721-80-16	Overall project description and programme. National / Key Interests: Supporting of man/woman and young football teams as part of social responsibility	To inform and engage on the project description & expected programme.	Project Description, Programme	Formal correspondence by Letter	Ongoing throughout project lifecycle.	Low	Low	No Current Action

Appendix A. SGCCUP Stakeholder Engagement Register
Communities

Stakeholder Category	Identified Stakeholders	Representatives (Name of Departments, or names of personnel, if known)	Contact Details	Suyarovich	Engagement Objectives	Project Information to be Disclosed	Method of Engagement	Recommended Frequency of Engagement	Influence	Interest	Action
Communities	Village council "Otkuduk"	Normuminov Hujamurod Erkinovich Chairman of the neighborhood	Address: Kashkadarya region, Nishon district, Gulistan village, Sohikor str. Phone: +998 (93) 935-26-26	Overall project description and programme. Environmental and social interest. Local / Key interests – local services and infrastructure / local jobs	To inform and engage on the project description & expected programme.	Project Description & Programme	Informant Interview / Focus Group Meeting / Public Meeting . Survey	Ongoing throughout ESIA process - following completion of key milestones	Low	High	Show Consideration
Communities	Village council "Enshonkuduk"	Panjiyev Toshtemir Samadovich Chairman of the neighborhood	Address: Kashkadarya region Guzar district, Eshonquduq village Phone: +998 (98) 776-57-61	Overall project description and programme. Environmental and social interest. Local / Key interests – local services and infrastructure / local jobs	To inform and engage on the project description & expected programme.	Project Description & Programme	Informant Interview / Focus Group Meeting / Public Meeting . Survey	Ongoing throughout ESIA process - following completion of key milestones	Low	High	Show Consideration
Communities	Village council "Abduhamit"			Overall project description and programme. Environmental and social interest. Local / Key interests – local services and infrastructure / local jobs	To inform and engage on the project description & expected programme.	Project Description & Programme	Informant Interview / Focus Group Meeting / Public Meeting . Survey	Ongoing throughout ESIA process - following completion of key milestones	Low	High	Show Consideration
Communities	Nishon (town)	Hayitmuradov Muxtar Quldoshevich Hakim of Nishon	Address: 180100, Kashkadarya region, Yangi Nishon city, Uzbekistan str. 21 Phone: +998 (75) 512-11-00 E-mail: t.nishon@exat.uz www.nishonpress.uz	Overall project description and programme. Environmental and social interest. Local / Key interests – local services and infrastructure / local jobs	To inform and engage on the project description & expected programme.	Project Description & Programme	Informant Interview / Focus Group Meeting / Public Meeting . Survey	Ongoing throughout ESIA process - following completion of key milestones	Low	Medium	Show Consideration
Communities	Guzar (town)	Suyunov Bekzod Olimovich Hakim of the region	Address: 180300, Kashkadarya region, Guzar district, Mustaqillik str. 14 Phone: +998 (375) 552-18-04 E-mail: t.guzor@exat.uz; guzor.hokimiyat@mail.ru www.guzor.uz	Overall project description and programme. Environmental and social interest. Local / Key interests – local services and infrastructure / local jobs	To inform and engage on the project description & expected programme.	Project Description & Programme	Informant Interview / Focus Group Meeting / Public Meeting . Survey	Ongoing throughout ESIA process - following completion of key milestones	Low	Medium	Show Consideration
Communities / Regional Based Scheme	Board of the "Mahalla" welfare foundation of Kashkadarya	Maxmatov Nurilla Suyarovich Deputy chairman of the board, executive director	Address: Kashkadarya region Karshi, Mustakillik square Tel: +998 (375) 221-20-67; +998 (99) 624-48-04 E-mail: qv_mahallafond@umail.uz	Overall project description and programme. Impacts and benefits of the project. Regional / Key interests: - formation of good neighborly and mutual respect relations between the population on the basis of humanity and kindness, rendering assistance and improving the living conditions of the population; creation of favorable conditions	To inform and engage on the project description & expected programme	Project Description & Programme Social Management and Monitoring Plan	Formal correspondence by Letter	Throughout the Project lifecycle	Low	Low	Inform

Appendix A. SGCCUP Stakeholder Engagement Register
Industry Partners

Stakeholder Category	Identified Stakeholders	Representatives (Name of Departments, or names of personnel, if known)	Contact Details	Key Interests and Areas Covered	Engagement Objectives	Project Information to be Disclosed	Method of Engagement	Recommended Frequency of Engagement	Influence	Interest	Action
Industry Partner	Shurtan oil and gas department of JSC "Uzbekneftgaz"	Jabborov Shokir Davlatovich Director	Address: 180320, Kashkadarya region, Guzar district, Shurtan settlement Phone: +998 (75) 211-05-96	Overall project description and programme. Interest in the safe and successful execution of the Project without impacting on their own operations. Local & National / Key Interests – Gas development and production	To inform and engage on the project description & expected programme.	Project Description & Programme	Face to Face Meeting / Formal correspondence	Ongoing throughout Project lifecycle	High	High	Partner
Industry Partner	JSC "O'zlitneftgaz"	Nazarov Ulugbek Sultanovich Chairman of Board	Address: 100029, Tashkent, Taras Shevchenko str. 2 Phone: +998 (71) 280-67-00 +998 (71) 280-67-05 E-mail: liting@liting.uz	Overall project description and programme. Interest in the safe and successful execution of the Project. Local & National / Key Interests – Development of design documentation for oil and gas industry	To inform and engage on the project description & expected programme.	Project Description & Programme Design documentation upon request	Face to Face Meeting / Formal correspondence	Ongoing throughout Project lifecycle	Medium	Medium	Show Consideration
Industry Partner	JSC "National Electric Grid of Uzbekistan"	Isakulov Dadajon Aynakulovich Chairman of Board	Address: 100084, Tashkent, Yunusabad district, Osiyo street. 42 Phone: +998 (71) 236-60-35 +998 (55) 500-55-45 E-mail: info@uzbekistonmet.uz	Overall project description and programme. Interest in the safe and successful execution of the Project without impacting on their own operations. Local & National / Key Interests – Power supply for the Project	To inform and engage on the project description & expected programme. To obtain technical conditions for power supply to the Project	Project Description & Programme Design documentation upon request	Face to Face Meeting / Formal correspondence	Ongoing throughout Project lifecycle	High	High	Partner
Industry Partner	Karshi Regional Railway Junction of JSC «O'ZBEKISTON TEMIR YO'LLARI»	Norqabilov Sirojiddin Anvarovich Head	Address: 180106, Kashkadarya Region, Karshi, Uzbekistan st. 8 Phone: +998 (375) 227-10-26 E-mail: rju-5@railway.uz	Overall project description and programme. Interest in the safe and successful execution of the Project without impacting on their own operations. Local & National / Key Interests – Provision of transportation services for the Project	To inform and engage on the project description & expected programme. Development of detailed design documents related to railway spure	Project Description & Programme	Formal correspondence	Ongoing throughout Project lifecycle	High	High	Partner
Industry Partner	Amudarya Basin Management for Irrigation Systems, Ministry of water recourses of the Republic of Uzbekistan,	Rakhimov Rustam Botirovich Head of department	Address: 100005, Tashkent, Mirzo-Ulugbek district, Qori Niyaziy street. 39 Phone: +998 (71) 202-47-15 +998 (71) 237-09-26 E-mail: suvchi@minwater.uz	Overall project description and programme. Interest in the safe and successful execution of the Project without impacting on their own operations. Local & National / Key Interests – Water supply for the SGCC/Project	To inform and engage on the project description & expected programme.	Project Description & Programme	Formal correspondence	Ongoing throughout Project lifecycle	Medium	Medium	Show Consideration
Industry Partner	"Uzbekistan GTL" LLC	Abdurasulov Faxriddin Raupovich General Director	Address: 100060, Tashkent, Fargona yuli str. 7b Phone:: +998 71 202 40 80	Overall project description and programme. Interest in the safe and successful execution of the Project without impacting on their own operations. Local & National / Key Interests – Gas development and production	To inform and engage on the project description & expected programme.	Project Description & Programme	Face to Face Meeting / Formal correspondence	Ongoing throughout Project lifecycle	High	High	Partner